

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
SMALL BUSINESS CONCERN

I hereby declare that I am an official empowered to act on behalf of the Small Business Concern doing business as,
Small Business Concern

Name

AVALON PHOTONICS Ltd.

Address

Badenerstr. 569
CH-8048 Zurich
Switzerland

I hereby declare that the Small Business Concern identified above qualifies as a Small Business Concern as defined in 37 CFR 1.27(a)(2) for purposes of paying reduced fees under section 41(a) and (b) to Title 35, United States Code with regard to the invention entitled:

Title

A POLARIZATION-STABLE VERTICAL CAVITY SURFACE EMITTING LASER DEVICE AND A METHOD OF STABILIZING THE POLARIZATION OF A VERTICAL CAVITY SURFACE EMITTING LASER DEVICE

invented by

inventor(s)

Name(s)

Fabrice Monti di Sopra and Dr. Michael Moser

a copy of the application was filed January 14, 2002 and given application no. 10/050,087.

I hereby declare that rights under contract or law have been conveyed to and remain with the Small Business Concern, or if the rights are not exclusive, that all other rights belong to small entities, listed below if any, as defined in 37 CFR 1.27, with regard to the above identified invention.

and, Granted, Conveyed, or Licensed

Name	Name
Address	Address

A separate verified statement is required from each entity having rights to the invention.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this verified statement is directed.

Name	K. Gulden	Signature	AVALON Photonics Ltd. Badenerstr. 569 CH-8048 Zürich	Date	4. April 2002
Title	CEO	(Avalon Photonics Ltd.)			

Please sign in blue ink

M. Moser
Product Development

[Signature]